

O I P A
JAN 15 2002
TRANSMITTAL
FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

6

Application Number 09/885,356

Filing Date June 20, 2001

First Named Inventor Shamim A. Alpha

Group Art Unit 2171

Examiner Name Jeevon Jones

Attorney Docket Number 27638/04001

ENCLOSURES (check all that apply)

- Fee Transmittal Form
 - Fee Attached
- Amendment / Reply
 - After Final
 - Affidavits/declaration(s)
- Extension of Time Request
- Express Abandonment Request
- Information Disclosure Statement
- Certified Copy of Priority Document(s)
- Response to Missing Parts/ Incomplete Application
 - Response to Missing Parts under 37 CFR 1.52 or 1.53

- Assignment Papers (for an Application)
- Drawing(s)
- Licensing-related Papers
- Petition
- Petition to Convert to a Provisional Application
- Power of Attorney, Revocation Change of Correspondence Address
- Terminal Disclaimer
- Request for Refund
- CD, Number of CD(s) _____

- After Allowance Communication to Group
- Appeal Communication to Board of Appeals and Interferences
- Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- Proprietary Information
- Status Letter
- Other Enclosure(s) (please identify below)
 - 1. Request for Corrected Filing Receipt (3 pages);
 - 2. Copy of Transmittal and postcard;
 - 3. Return Receipt Postcard.

Remarks

RECEIVED

JAN 29 2002

Technology Center 2100

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Individual name & Telephone Peter Kraguljac (38,520)
216/622.8654Signature & Date  November 1, 2001

Bar Code Label



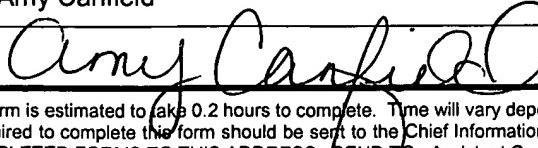
24024

PATENT TRADEMARK OFFICE

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Office of Initial Patent Examination's Customer Service Center, Washington, D.C. 20231 on this date: November 1st, 2001

Typed or printed name Amy Canfield

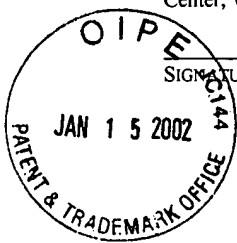
Signature  Date November 1, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

CERTIFICATE OF MAILING

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SIGNATURE OF AMY CANFIELD



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

BAR CODE LABEL



24024

PATENT TRADEMARK OFFICE

PATENT

In re Application of:)	Examiner:	Jeevon Jones
Shamim A. Alpha)		
)	Group Art Unit:	2171
Serial No.: 09/885,356)		
)		
Filed: June 20, 2001)		
)		
For: METHOD AND SYSTEM FOR RESPONSE TIME OPTIMIZATION OF DATA QUERY RANKINGS AND RETRIEVAL)	Attorney Docket No.:	27638/04001

REQUEST FOR CORRECTED FILING RECEIPT

RECEIVED

Assistant Commissioner for Patents
Office of Initial Patent Examination's Customer Service Center
Washington, D.C. 20231

JAN 29 2002

Technology Center 2100

Dear Sir:

1. Attached is a copy of the official filing receipt received from the United States Patent and Trademark Office in the above application for which issuance of a corrected filing receipt is respectfully requested.

2. There is an error with respect to the following data which is:

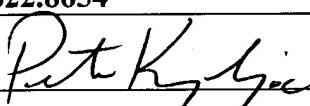
- incorrectly entered, and/or
 omitted.

ERROR IN	CORRECT DATA
1. Applicants' name	1.
2. Applicants' City of Residence	2.
3. Title:	3.
4. Filing date:	4. 06/20/2001
5. Serial Number:	5.
6. Foreign/PCT Application, re:	6.
7. Other:	7.

3. (Complete the following applicable item A or B)

- A. The corrections are not due to any error by applicants and no fee is due.
- B. No fee is believed due to enter this correction, however, if this is in error and if there are any fees due in connection with this matter, please charge our deposit account 03-0172.

4. Please note that the date written on the Express mailing label by the post office was 6-10-01 (4:35 p.m.). This is incorrect as the date was 6-20-01. The enclosed copies of the Transmittal and postcard show the filing date as 6-20-01. Besides, 6-10-01 was a Sunday and at 4:35 p.m., the post office would have been closed, and thus would not have been the actual date.

Individual Name & Telephone	Peter Kraguljac (38,520) 216/622.8654	
Signature & Date		November <u>1</u> , 2001
Bar Code Label		



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/885,356	06/10/2001 06/20/2001	2171	710	27638/04001	2	20	3

24024 *PL*
CALFEE HALTER & GRISWOLD, LLP
800 SUPERIOR AVENUE
SUITE 1400
CLEVELAND, OH 44114



CONFIRMATION NO. 6203

FILING RECEIPT



OC000000006422309

Date Mailed: 08/14/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Shamim A. Alpha, Foster City, CA;

Domestic Priority data as claimed by applicant**RECEIVED****Foreign Applications**

JAN 29 2002

If Required, Foreign Filing License Granted 08/14/2001

Technology Center 2100

Projected Publication Date: 12/12/2002

SEARCHED

Non-Publication Request: No.

INDEXED

Early Publication Request: No

C.J.L. I.P. DEPT.

Title

Method and system for response time optimization of data query rankings and retrieval

Preliminary Class

707

AUG 27 2001
T.L.B. IP Dept.
SEARCHED INDEXED
FILED

Data entry by : MANALAC, AMELIA

Team : OIPE

Date: 08/14/2001

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

JAN 15 2002
PATENT & TRADEMARK OFFICE
U.S. DEPARTMENT OF COMMERCE

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 27638/04001

First Inventor Shamim A. Alpha

Title METHOD AND SYSTEM FOR RESPONSE TIME OPTIMIZATION
OF DATA QUERY RANKINGS AND RETRIEVAL

Express Mail Label No. EL085017891US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. Applicant claims small entity status.
See 37 CFR 1.27.
3. Specification *[Total Pages* 23 *]*
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings *(if filed)*
 - Detailed Description
 - Claim(s)
 - Abstracts
4. Drawing(s) (35 U.S.C. 113) *[Total Pages* 2 *]*
5. Oath or Declaration *[Total Pages* 2 *]*
 - a. Newly executed (original or copy)
 - b. Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
 - i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
6. Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. CD-ROM or CD-R in duplicate, large table or Computer Program *(Appendix)*
8. Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all necessary)*
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statements verifying identity of above copies

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ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & document(s))
10. 37 CFR 3.73(b) Statement *(when there is an assignee)*
 - Power of Attorney
11. English Translation Document *(if applicable)*
12. Information Disclosure Statement (IDS)/PTO-1449
 - Copies of IDS Citations
13. Preliminary Amendment
14. Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i).
Applicant must attach form PTO/SB/35 or its equivalent
17. Other: Check for Application \$ 710.00
Check for Assignment \$ 40.00

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part (CIP)

of prior application No.

Prior application information: Examiner

Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. COMMUNICATION ADDRESS

24024

Customer Number or Bar Code Label



or Correspondence address below

Country	Telephone	(216) 622-8654	Fax	(216) 241-0816
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Name (Print/Type)	Petar Krasuljac	Registration No. (Attorney/Agent)	38,520
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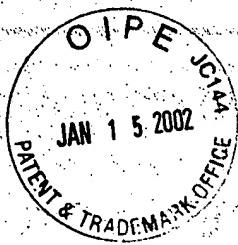
Signature	<i>Petar Krasuljac</i>	Date	June 20, 2001
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Certificate of Mailing

Express Mail No. EL085017891US

I hereby certify that this application is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Service under 37 CFR 1.10 on this 20th day of June, 2001 and is addressed to the Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231

Rajendra S. Anwari, D.S.B.
Signature



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Application Of:

Shamim A. Alpha.

Filed:

June 20, 2001

Title:

METHOD AND SYSTEM FOR RESPONSE TIME OPTIMIZATION
OF DATA QUERY RANKINGS AND RETRIEVAL

Attorney Docket No.:

27638/04001

Filing Attorney:

Peter Kraguljac Reg. No. 38,520

Filing of:

Utility Patent Application

Please acknowledge receipt of the following:

- | | |
|---|---|
| <input type="checkbox"/> Utility Application Cover Sheet (1 page) | <input type="checkbox"/> Assignment Recordation Form (1 page) |
| <input type="checkbox"/> Fee Transmittal Form PTO/SB/17 (1 page) | <input type="checkbox"/> Assignment (2 pages) |
| <input type="checkbox"/> Application Data Sheet (1 page) | <input type="checkbox"/> Declaration (2 pages) |
| <input type="checkbox"/> Specification (17 pages) | <input type="checkbox"/> Return receipt postcard |
| <input type="checkbox"/> Claims (5 pages) | <input type="checkbox"/> Checks in the amount of \$710.00 |
| <input type="checkbox"/> Abstract (1 page) | & \$40.00. |
| <input type="checkbox"/> Drawings (2 pages) | |

{AC0397.DOC;1}

0986 U.S. PTO
09/885356
06/10/01

JC986 U.S. PTO
09/885356
06/20/01